# THE MEDIATOR'S ASSESSMENT OF SAFETY ISSUES AND CONCERNS (MASIC): A SCREENING INTERVIEW FOR INTIMATE PARTNER VIOLENCE AND ABUSE AVAILABLE IN THE PUBLIC DOMAIN

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Screening for intimate partner violence and/or abuse (IPV/A) in family mediation is important, perhaps particularly among cases without attorney representation. While most mediators agree that it is ideal to consider IPV/A in case planning, there is less agreement regarding the need to universally and systematically screen for IPV/A among all cases. Such attitudes are of concern, given research in other fields (e.g., medicine, couples therapy) and our own research in a family mediation clinic, which documents that the lack of consistent and formal IPV/A assessment results in underdetection of IPV/A. While a variety of IPV/A screening measures exist, each has shortcomings. Thus, our research and clinical experience led us to develop a new IPV/A screening measure, the Mediator's Assessment of Safety Issues and Concerns (MASIC). We discuss features of the MASIC and provide the full measure in the Appendix. The MASIC is a behaviorally specific IPV/A screen that assesses various types of abuse (e.g., coercive control, stalking, physical violence) over the course of the relationship and in the past year. It is administered as an interview to build rapport and assesses lethality indicators and offers optional recommendations for procedural changes in mediation based on IPV/A. Although we have begun relevant research, it is important to note that the MASIC has not yet been validated. Nonetheless, we recommend the use of systematic IPV/A screens in family mediation and suggest that such measures may prove especially important in providing unrepresented parties a safe and appropriate environment for mediation.

**Keywords:** intimate partner violence; divorce and separation mediation; violence screening instrument; intake interview

#### I. INTRODUCTION

Many professionals working with families involved in mediation agree that there must be adequate screening measures for intimate partner violence and/or abuse (IPV/A) in such settings (Beck & Sales, 2001; Ellis, 2008; Kelly & Johnson, 2008; Mathis & Tanner, 1998; Salem & Dunford-Jackson, 2008; Ver Steegh & Dalton, 2008). Unfortunately, however, this view is not universally held. Though most seem to agree that IPV/A is an important issue to consider in mediation, resistance to universal screening often appears to be based on the perception of many mediators that IPV/A is not a frequently occurring problem among the couples they see in mediation. This assumption is applied to mediation parties regardless of whether either or both are represented by counsel or are self-represented (pro se), regardless of whether they are seeking private mediation or were ordered to court-connected mediation, and regardless of whether the mediator is trained in law or mental health disciplines. Indeed, we have heard mediators argue that they simply do not believe, based on experience with clients, that many of the parties they work with have experienced IPV/A.

Yet, past research has demonstrated that professionals in many other fields held the same belief until they began systematically screening for IPV/A using specific, behavioral questions; when such screenings were conducted, detected rates of IPV/A were often quite high. For example, in a study of women seeking health care at a family practice clinic, 23% had been physically assaulted by their partner in the past year (39% in their lifetime), but only 1.7% reported that their physician had ever asked them about violence (Hamberger, Saunders, & Hovey, 1992). The situation is similar among family therapists, who were found to underestimate the severity of family violence in many cases (Hansen, Harway, & Cervantes, 1991). Among a sample of couples seeking marital therapy, Ehrensaft

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and Vivian (1996) found that, while over 60% had a history of IPV/A, less than 10% spontaneously reported the IPV/A during therapy intake interviews, demonstrating the need for direct assessment of IPV/A.

Although failure to detect and consider IPV/A in any mediation setting is of concern, it is especially concerning when a mediation party, particularly a party who has been abused, is self- represented. As observed in another article in this special issue, the self-represented litigant is

the single most important issue facing family courts today . . . and the second most frequently cited problem by judges and court staff who process divorce cases. . . . The number of these cases is substantial, though it varies across jurisdictions and types of proceedings. Studies have indicated that the range of family court cases that have at least one pro se client is 55 to 90 percent . . . (Beck, Walsh, Ballard, Holtzworth-Munroe, Applegate, & Putz, 2010, p. 632).

## Despite these statistics,

there is almost no research concerning the number of pro se litigants with IPV/A in mediation . . . , the types of IPV/A among pro se cases, and the possible correlations between type of IPV/A and the types of agreements reached, if any. These data are critically important for mediators working with clients to protect the safety of the victim of IPV/A and the IPV/A-exposed children (Beck et al., 2010, p. 633).

In a series of studies designed to examine varying IPV/A screening methods at the Indiana University Maurer School of Law Viola J. Taliaferro Family and Children Mediation Clinic, we have found that, just as in the fields of medicine and couples therapy, mediators who do not use systematic screening methods may underdetect IPV/A among families entering mediation. While more detailed study findings will be presented in upcoming publications, a brief overview is provided here.

At the Indiana University law school clinic, mediators are second- and third-year law students registered as domestic relations mediators in Indiana. The clinic provides pro bono family law mediation services to low-income and indigent families in south central Indiana. Many of the clients in clinic cases are unrepresented, based primarily on financial constraints rather than voluntary choice. Indeed, there are no attorneys in approximately two thirds of the clinic cases, and in many of the remaining third, only one party has legal representation. Given the nature of these cases, the clinic mediators were particularly concerned about detecting IPV/A.

When we began conducting research at the clinic, the mediators there were using IPV/A screening procedures instituted before the clinic director (author Applegate) started actively collaborating with the other authors of this article (both of whom are psychologists with research and clinical experience in the area of IPV/A). At the time, the clinic director and mediators believed that they were adequately screening for IPV/A and were detecting most cases involving IPV/A. Indeed, they were conducting what was considered substantial screening for IPV/A in the mediation community. Specifically, mediators at the clinic received training on IPV/A issues and assessment. Through the training, mediators were taught to obtain IPV/A screening information in multiple ways, including directly from the court, searching court records for criminal and protective order cases, calling parties in advance of mediation to check on issues that might affect the mediation process, and conducting intakes asking about comfort with mediation, concerns about mediation, and how relationship conflict is handled. As part of clinic procedures, clinic mediators discussed IPV/A discovered at any point with the director of the clinic.

In our first study, we examined rates of IPV/A detection among existing clinic case files. These prevalence rates were low (less than 40%), relative to other studies of couples entering mediation where rates of IPV/A are over 50% (Beck, Walsh, Mechanic, & Taylor, 2009; Beck, Walsh, & Weston, 2009; Kelly & Johnson, 2008; Pearson, 1997). This finding suggested that mediators were underdetecting IPV/A (see Ballard, Holtzworth-Munroe, Applegate, & D'Onofrio, in press).

We thus conducted a second study, in which we randomly assigned some mediation cases to receive an enhanced IPV/A screening using a standardized, behaviorally specific questionnaire measure of IPV/A, the Relationships Behavior Rating Scale (RBRS; Beck, Menke, O'Hara Brewster, & Figueredo, 2009), as part of a research protocol. The RBRS questionnaire results were not shared with the mediators, and mediation parties were not given any instructions regarding whether or not to discuss concerns assessed on the RBRS with their mediators. Following mediation, we asked mediators whether the case involved IPV/A. Mediators *did not* detect IPV/A in approximately half the cases reporting IPV/A on the RBRS (see Putz, Ballard, Holtzworth-Munroe, Applegate, & Beck, 2009).

Such findings suggest the need for more systematic screening of IPV/A in mediation cases. Thus, it is fortunate that court-connected mediation programs have begun screening for IPV/A and developing their own in-house screening measures. In 2006, the Michigan Supreme Court developed a protocol for domestic violence (and child abuse) screening in matters referred to domestic relations mediation (http://courts.michigan.gov/scao/resources/standards/odr/dvprotocol.pdf). The California Administrative Office of the Courts has also developed a violence screening tool for use in court mediation programs in that state (http://www.courtinfo.ca.gov/courtadmin/aoc/). There are currently several additional instruments for doing so (e.g., Erickson & McKnight, 1990; Neilson & Guravich, 1999); only some are listed here. The Conflict Assessment Protocol (CAP) was an early screening tool developed for parties who are disputing divorce-related issues (Girdner, 1990). Newmark and colleagues (1995) created an instrument using several existing measures including the Conflict Tactics Scale (CTS) (Straus, 1979), the Procedural Justice Scale (Roehl, 1988), and the Marital Power and Decision-Making Scale (Blood & Wolfe, 1960). The Domestic Violence Evaluation (DOVE) is a 19-item instrument designed to assess and manage risks for couples both before and after divorce (Ellis & Stuckless, 2006). The P<sup>5</sup> is a guideline (not a specific screening measure) outlining a comprehensive set of screening dimensions for IPV/A that are relevant to custody and access planning (e.g., potency of violence, patterns of violence, primary perpetrator of violence, parenting problems, and perspective of the child; Johnston, Roseby, & Kuehnle, 2009). The RBRS, used in our research, is a recently validated instrument used in the court-connected mediation context (Beck et al., 2009).

To further examine the feasibility and ease of use of different available screening measures, in a third study at the Indiana University clinic, we compared two IPV/A screens—a revised version of the RBRS (RBRS-R) and the DOVE. Both were administered to all mediation parties by clinic mediators. The two measures differed in many ways. For example, the DOVE is an interview, while the RBRS-R is a questionnaire. The DOVE asks broader questions (e.g., have you been "physically assaulted"), while the RBRS-R asks behaviorally specific questions (e.g., has your partner choked or strangled you). The DOVE assessed IPV/A over the entire course of the relationship (ever), while the RBRS-R assessed IPV/A in the past 12 months. Some of the study findings included: mediators strongly preferred the interview format; some mediation parties asked for definitions of items such as "physically assaulted" or "sexually assaulted" on the DOVE (which were provided on a separate sheet); asking about any IPV/A over the entire course of the relationship led to higher reports of IPV/A than assessing IPV/A in only the past year; and perhaps as a result of the time frame assessed, the DOVE led to higher female reports of male IPV/A (see Putz et al., 2009). The mediators found the scoring process on both screens complicated and onerous, and they resisted being told what should be included in mediation agreements (as contrary to the key concept of party self determination in mediation).

As suggested by our third study, no one IPV/A measure is perfect and each of the currently available measures presents certain limitations or concerns. For example, some have a relatively limited scope of questions (e.g., CTS, CAP) or only assess certain types of abuse (e.g., physical violence but not coercive control). Others do not include behaviorally specific or detailed questions (e.g., DOVE, P<sup>5</sup>). In addition, some of these measures require hours of specialized training to use (e.g., DOVE) or are copyrighted and must be purchased to use (e.g., RBRS, RBRS-R), making them less easily accessible to mediators.

# II. DEVELOPMENT OF A NEW MEASURE

Based on concerns about the existing IPV/A screening measures, we wished to combine the best features of some of the measures, particularly the two we had piloted in our research (i.e., the RBRS-R and the DOVE). Unfortunately, the RBRS and RBRS-R are copyrighted measures. Further, the DOVE requires specialized workshop training to administer and was not as behaviorally specific as we desired, given research in other areas (e.g., medicine, couples therapy) suggesting that behaviorally specific questions increase rates of detection of IPV/A. Thus, we chose to develop a new measure that would not be copyrighted and would not require extensive training to use, and which thus could be widely disseminated.

The result is a new screening measure for IPV/A: the Mediator's Assessment of Safety Issues and Concerns (MASIC), provided in the Appendix to this article. As already noted, it is not copyrighted and is freely available to others to use. The MASIC is intended to be a detailed and extensive screening tool for mediators. The MASIC was derived from previous IPV/A screens that have been standardized, have good psychometric data (e.g., reliability figures), and have been validated. That said, however, the MASIC is a new screening instrument that has not been proven reliable or validated at this time.

The MASIC is Designed to be Given as an Interview. In our third study, we learned that the mediators preferred to conduct an interview (as opposed to giving a client a written questionnaire) as it helped to build rapport with mediation parties and led to a more thorough understanding of any reported IPV/A. Also, an interview measure is necessary with parties who have difficulty reading.

The MASIC Assesses Each Mediation Party's Report of the Other Party's IPV/A Perpetration. From the research perspective, obtaining both self- and partnerreports of IPV/A perpetration is considered the optimal way to screen for IPV/A (i.e., two reporters). From the practice perspective, however, we are uncomfortable asking mediation parties to provide information about their own perpetration of IPV/A, which could result in statements of self-incrimination that under Indiana law are potentially discoverable in another proceeding (e.g., a criminal case).<sup>2</sup> Thus, to protect mediation parties from possible self-incrimination, the MASIC only asks parties to report on their partner's perpetration of IPV/A.

The MASIC Asks a Series of Behaviorally Specific Questions about IPV/A. There are many issues to consider regarding how to ask about IPV/A. Given research findings that many parties do not apply labels such as "violence," "abuse," or "assault" to their experiences, the MASIC does not use these labels. We believe parents will be more likely to report the occurrence of a specific IPV/A behavior than to say they have been "abused" or "assaulted."

The MASIC Assesses Multiple Types of IPV/A. Psychological abuse, coercive control, physical violence and extreme physical violence, sexual assault/abuse, stalking, and fear are all assessed on the MASIC. Recent research demonstrates important differences across varying types of abuse in mediation (Beck et al., 2009; Beck et al., 2009; Kelly & Johnson, 2008). Issues such as coercive control and stalking, while not involving physical contact, may impact the parties' levels of concerns and fears when entering mediation and thus deserve attention. Recent research found that, if mediators focused only on physical violence when deciding whether a case has IPV/A, they risked missing the majority of victims of sexual forms of assault and coercive control (Beck & Raghavan, in press), as not all victims of these latter forms of IPV/A were also victims of physical forms of IPV/A.

The MASIC Assesses Whether or Not Each of the Listed IPV/A Behaviors Has Occurred Over Two Time Periods. Some IPV/A screening instruments phrase questions in a manner that assumes such behaviors have occurred (e.g., "who has been sexually abused in your family?"), on the belief that normalizing the occurrence of IPV/A may lead to increased reports of IPV/A. However, in the settings

where we work, mediators were not comfortable asking questions in this manner, believing that such an approach was accusatory and often upsetting to parties who have not experienced any IPV/A.

Thus, using a response format modeled on the CTS and other measures, the MASIC assesses IPV/A over two time periods: (1) ever (i.e., during the entire history of the couple's relationship) (answered yes or no) and (2) in the past 12 months (more specific frequency response options given—see MASIC). Gathering reports of IPV/A over both time frames is informative for the mediator. In some cases, past IPV/A, particularly if severe, may continue to impact the relationship even though it is not currently ongoing. For example, the victim may still be afraid of, and thus easily coerced by, the previously abusive partner. In other cases, parties have reported that their past relationship IPV/A is irrelevant to their current situation; they believe they have emotionally moved on, are not intimidated by their partner, and are not worried about the possible occurrence of future IPV/A. In all cases, it is important to assess for more recently occurring IPV/A, both because such behaviors may have a current impact on the relationship dynamics and because recent behavior is the best predictor of future behavior. Indeed, there is particular concern about any IPV/A that has occurred since the parties separated, as such information suggests that physical separation alone has not stopped the abuse.

Thus, the MASIC also asks how long the parties have been separated, allowing the mediator to consider the occurrence of IPV/A during the period of separation as a risk factor for continued IPV/A following legal separation or divorce. It is important to note, however, that while the best predictor of future IPV/A is past IPV/A, no screening instrument can be relied upon as an accurate predictor of future IPV/A. Instead, the MASIC assesses past IPV/A that may have impacted the parties' relationship and thus be relevant to mediation and which may indicate increased risk of future IPV/A.

The MASIC Includes Other Questions that are Relevant for Determining Levels of IPV/A and Potential Danger. The MASIC includes questions directly related to IPV/A risk levels (e.g., weapon accessibility, threats of suicide). In addition, many of the questions in the MASIC address potential predictors of lethality (e.g., reason for leaving the relationship, children living in the home from a previous marriage, access to weapons). Indeed, a checklist at the end of the MASIC allows the mediator to consider lethality indicators derived from existing research on predictors of femicide by male intimate partners (Campbell et al., 2003; Dobash, Dobash, Cavanagh, & Medina-Ariza, 2007; Glass, Laughon, Rutto, Bevacqua, & Campbell, 2008).

The MASIC Provides Options for the Mediator's Consideration. The last page of the MASIC provides space for the mediator to consider whether the case is appropriate for mediation and, if not, to indicate their reasoning. This is important as the mediator, or a colleague in the clinic, might see the couple again. In addition, there is a final section on procedural accommodations for the mediator to consider in light of the screening results. Previous research has investigated procedural changes and accommodations provided to mediation clients who wanted them or were found to need them in the course of the mediation (Beck et al., 2009). Based on this work, in the MASIC, we included options for accommodations as a list; the mediator can indicate which, if any, were chosen. These options are jurisdiction specific and may be changed to meet the needs of a particular jurisdiction.

The MASIC Has Become Part of the Intake Process at the Indiana University Law School Clinic. Intakes are conducted separately from negotiation sessions. At intake, mediators explain the mediation process, administer the screen, and have the parties complete a separate written intake form to provide information about themselves, their families, and the issues to be mediated. This intake proceeds for all parties and, in our area, even in cases where one or both parties have legal counsel, though the attorneys often waive their appearance for the intake.

Our preliminary observation of mediators' use of the MASIC is that, although the MASIC requires mediators to ask sensitive questions that may sometimes be uncomfortable or distressing for the parties, the screen is very helpful to the mediators in determining whether a case can safely or

appropriately be mediated and, if so, how to structure the environment for the mediation. To date, clinic mediators using the MASIC have provided positive feedback, including the following quotes, which have been slightly edited for ease of reading:

- Proper framing of the measure prior to beginning the MASIC is essential. I have found that making an analogy to the forms one fills out at the doctor's office is effective because that is a universal experience people can relate to, and it prepares them for potentially invasive questions.
- I find the MASIC a helpful tool for initiating a discussion about potential IPV/A. The screen is very thorough—nearly any type of violence you can think of has been included. However, even where the MASIC questions do not quite fit the particular circumstances for a particular couple, it often prompts the clients to think and talk about their relationship in a new light. Sadly, I have found that some clients have been victims of IPV/A without even realizing it; they get so accustomed to conflict that they begin to think it is "normal." The MASIC helps these people to "recalibrate" and recognize violence for what it is.
- It can definitely be uncomfortable asking our clients some of the MASIC questions, but in my experiences, they appreciated the fact that we took the time to look into these issues.
- The MASIC allows mediators to ask questions and get a clear idea of what types of abuse parties have experienced. For example, was it emotional, coercive control, or physical abuse? In addition, rather than telling mediators whether or not the parties are appropriate for mediation, the MASIC gives more general guidelines as to considerations that might be useful or important in the mediation.
- I really appreciate the format of asking if the abusive behaviors EVER happened and then asking if they have happened in the last twelve months. Some other abuse screening measures call for alarm when the participant simply checks that something has happened, but the MASIC keeps things in perspective. I think the MASIC is also good in ensuring that the frequency of behaviors is clarified, so that a relatively clear picture of how much danger currently exists can be created.
- On other IPV/A screens, I was often frustrated by "results" that suggested parties with a violent history were inappropriate for mediation. I prefer to have safety considerations that can be discussed with the parties during the mediation rather than a clear mandate that mediation should not proceed. The MASIC provides such considerations.

We are now beginning research to test the reliability and validity of the MASIC in Indiana and Australia. By administering the MASIC to many mediation cases in different jurisdictions, with represented and self-represented parties who are in private and court-sponsored mediation programs, we will be able to gather psychometric data (e.g., reliability and validity figures) on the MASIC. We also can examine rates of male and female violence and other forms of abuse (e.g., stalking, coercive control) among couples seeking mediation. We can examine demographic correlates of these IPV/A rates, as we are gathering demographic information.

An eventual goal of our work is to conduct research on how the presence of IPV/A relates to the mediation process and outcome. By identifying cases involving IPV/A, we can gather information on the mediation experiences of such couples relative to the experiences of nonviolent couples; some examples are provided here. Regarding mediation processes, we can examine whether (and how) mediators change their procedures to deal with parties experiencing IPV/A (e.g., do they separate the parents and conduct "shuttle" mediation). We can also examine party satisfaction and feelings about the mediation; do parties reporting IPV/A feel safe in mediation and are they satisfied with the mediation process and the outcome of their mediation? Regarding mediation outcomes, do parents experiencing IPV/A reach agreements that differ from those of nonviolent couples (e.g., are the agreements of parties reporting IPV/A more likely to include supervised exchanges of children, to minimize the potential of interparental IPV/A)? And, how do cases involving IPV/A fare if they return to court following mediation?

With large enough study samples, the MASIC will also allow examination of more detailed questions about varying forms and types of IPV/A. For example, are mediators more likely to implement safety procedures in the mediation process in response to female or male reports of IPV/A victimization? Regarding outcome, are reports of particular forms of abuse on the MASIC related to the inclusion of related safety precautions in mediation agreements? For example, do reports of stalking lead to agreements limiting contact between the parties? Such descriptive work will provide a better understanding of how mediators actually use information on IPV/A in their practices. Such findings would ideally lead mediators to examine their practices and consider whether to make further changes in their handling of cases involving IPV/A.

## III. CONCLUSION

Research in other fields, such as medicine and couples therapy, suggests that, regardless of training, professionals consistently underestimate the prevalence of IPV/A among their clients until they begin to systematically screen for IPV/A using behaviorally specific and direct questions. Our own research leads to the same conclusion for mediators. Thus, it is important for mediators to choose a systematic IPV/A screen, using behaviorally specific questions, and to ask every mediating party to complete it. It is particularly important if the clients are self-represented. It may be the only time these clients are asked about IPV/A and provided with information and options for resolving their disputed issues in a safe and/or appropriate environment.

Several IPV/A screening measures already exist and using any of them would help mediators detect IPV/A. Yet given our own research, we believed that it would be useful to develop a new measure of IPV/A that includes measures of lethality, stalking, and sexual abuse/violence. We believed that a broad-based, behaviorally specific measure assessing multiple forms of abuse would be useful.

One of our major goals was to design a measure that would be freely available, in the public domain, to all mediators and researchers. We thus introduce the MASIC and encourage its use. We welcome partnerships in data collection and analysis, reports, and comments from those who adopt the MASIC.

## NOTES

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- 1. Some mediators are also reluctant to ask any questions about IPV/A on the basis that it might appear to compromise their impartiality and serve to cause the parties to view the mediator as adopting an investigative, rather than neutral, role.
- 2. In Indiana, although mediation discussions are confidential (Rule 2.11 of the Indiana Rules of Alternative Dispute Resolution), in a 1995 federal case, a mediator in an Indiana civil case was compelled to testify in a federal grand jury proceeding about negotiations during the civil mediation. In re March 1994—Special Grand Jury, 897 F. Supp. 1170 (S.D. Ind. 1995). Although this reported case involved a federal criminal proceeding, the local child support prosecutor has taken the position that statements made by a party during mediation, while protected from disclosure to the court in the family law case, could be used against that party in an Indiana criminal proceeding. Despite the fact that representatives of the prosecutor's office do not typically participate in mediations and would presumably have no way of knowing of any incriminating statements made during mediation, theoretically at least, one mediation party might attempt to cause information obtained in the mediation process to be used against the other party in a criminal proceeding. To protect mediation parties from this potential risk, the clinic does not gather any data that could result in a party incriminating him or herself.

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